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LAGOA DA PATA REVISITADA: MAIOR SAZONALIDADE COMO CAUSA DO REAGRUPAMENTO DA COMUNIDADE VEGETAL DURANTE O ÚLTIMO PERÍODO GLACIAL NA AMAZÔNIA

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MANAUS-AMAZONAS

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Epígrafe

*"A igualdade nos faz repousar.
A contradição é que nos torna produtivo."*
Johann Wolfgang Von Goethe

Resumo

Pólen em sedimentos da Lagoa da Pata, noroeste da Amazônia, foi estudado com o intuito de cobrir o último ciclo glacial. Embora uma floresta tropical alta pareça ter remanescido intocada durante os últimos ~120,000 anos, a re-análise e reinterpretação de dados sugerem que a vegetação não análoga da idade do gelo na Amazônia foi mais sazonal, assemelhando-se às florestas tropicais sazonalmente secas. O resfriamento teve papel importante em permitir a expansão local de elementos montanos, que é o ponto chave para estabelecer uma vegetação sem análogos modernos. A interpretação paleoambiental estritamente local não pode ser estendida a uma região mais ampla da planície, pois, como presentemente, chuvas orográficas fizeram com que o morro onde o lago está localizado permanecesse mais úmido e menos suscetível a seca, ao contrário das florestas na planície da alta bacia do rio Negro. Seco e moderadamente mais frio é o mais provável cenário climático para a região durante picos do último glacial.

Abstract

Pollen in sediments of Lake Pata, northwestern Amazon, was studied with the aim of covering the entire last glacial cycle. Although a tall tropical forest seems to have remained unbroken throughout the past ~120,000 years, reanalyses and reinterpretation of data suggest the so-called nonanalog ice age vegetation was more seasonal, resembling present day seasonal tropical dry forests. This agrees with a prolonged annual dry season and polar air advection as the features setting the region biome. The very local paleoenvironmental interpretation cannot be perfectly extended to a broader lowland region because like presently, orographic rain caused the hill to have remained moister and less susceptible to drought, unlike the overall mesic lowland forests of the upper Rio Negro basin. Thus the dry events affected the region more drastically than what could have been assessed by means of a pollen study at the hill. Seasonally dry and moderately cooler is the most probable climatic picture for the region during the last ice age.

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Introdução

Nas últimas décadas, um grande corpo de literatura tem sido devotado a explicar paleoambientes na bacia amazônica. Tradicionalmente a palinologia traz grandes vantagens nos estudos paleoecológicos, mas a incorporação de diferentes métodos tem culminado em registros mais detalhados e acurados que se tornam proxies convergindo de várias áreas do conhecimento. Entre os locais de estudo palinológico na Amazonia, o Morro dos Seis Lagos é um bom exemplo disto. Ao diagrama original (Colinvaux *et al*, 1996), análises paleoquímicas foram adicionadas (Bush *et al*, 2002), que permitiram aos autores inferirem as causas dos ciclos orbitais nos regimes de precipitação locais. Esses autores, acima citados, combinaram pesquisas palinológicas com estudos geoquímicos cujos resultados possibilitaram detalhar uma história ambiental para as condições do glacial tardio na Amazônia. (Bush *et al*, 2004).

A lagoa da Pata é um dos poucos pontos da Amazônia que preservou uma história contínua, ou quase contínua. Uma lacuna na sedimentação é clara entre 35 e 26 mil anos antes do presente, embora mudanças na vegetação não tenham sido detectadas, e a fase mais seca parece então ter ocorrido neste período ao invés do último máximo glacial (UMG). Entretanto, a sedimentação em 18 mil anos atrás é questionável pelo fato de que argilas nodulares talvez indiquem retrabalhamento (Ledru *et al*, 1998), e até mesmo um evento seco durante o Holoceno inicial a médio é evidente pela sedimentação e conteúdo polínico (Mayle and Power, 2008). Não obstante a existência de um hiato sedimentar (Ledru *et al*, 1998; Van Der Hammen and Hooghiemstra, 2000) ou pelo menos um forte decréscimo na precipitação (Hooghiemstra and Van Der Hammen, 1998), a lagoa da Pata é constantemente citada como um registro de floresta intocada, tanto por aqueles que advogam a favor de uma vegetação fragmentada durante o último máximo glacial -UMG- (Hooghiemstra and Van Der Hammen, 1998; Van Der Hammen and Hooghiemstra, 2000; Haffer and Prance, 2002) ou pelos que se opõem a ela (Colinvaux and De Oliveira, 2000, 2001; Colinvaux *et al*, 2001). Os mapas de cobertura da vegetação durante o UMG até hoje publicados (Van Der Hamenn and Absy, 1994; Bush, 1994; Haberle and Masli, 1999; Hooghiemstra and Van Der Hammen, 1998; Anhuf *et al*, 2006) geralmente colocam a lagoa da Pata no limite de um grande bloco de floresta pluvial a oeste, cercado por vegetação tipo savana ou semidecídua. A manutenção de floresta pluvio-tropical parece improvável, assim como a extrema expansão de savanas, o que tem levado a reconstruções mais mosaicas

(Cowling *et al.*, 2001; Anhuf *et al.*, 2006), embora diferentes interpretações, principalmente pela falta de dados, ainda sejam possíveis (Anhuf *et al.*, 2006).

O núcleo de sondagem retirado da lagoa da Pata tem quase sete metros de profundidade e passa dos 170 mil anos, que foi inferido por uma interpretação geoquímica de cátions de potássio bem correlacionados com ciclos precessionais (Bush *et al.*, 2002), sendo assim usados para extrapolação da datação basal. Constringindo a idade basal do núcleo em 170 mil anos por meio do uso da natureza cíclica dos picos de potássio (oito ao total) assume uma taxa de sedimentação constante, que por sua vez está de acordo com uma simples extrapolação das datações radiocarbônicas dos 1,5 metros superiores, considerando uma sedimentação constante. Apesar de que o núcleo completo possa compreender dois ciclos glaciais completos, iniciando no estágio isotópico 6 (MIS6), dados de pólen foram publicados apenas para os últimos 50 mil anos.

Objetivos

Geral

Analisar pólen contido em amostras de sedimentos, cobrindo um ciclo glacial inteiro, portanto atingindo MIS 5e bem como averiguar a evolução da paisagem no Morro dos Seis Lagos durante os últimos 120 mil anos.

Específicos

Testar a replicabilidade dos dados, uma vez que boa parte do estudo já foi realizada por outros pesquisadores.

Comparar metodologias usadas antes e no presente estudo.

Verificar se conjuntos de dados de outras naturezas se assemelham ou não aos aqui produzidos.

1 **Lake Pata revisited: increased seasonality as a cause of community reassortment in**
2 **the ice age Amazon vegetation**

3

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15

16 **Abstract**

17 Pollen in sediments of Lake Pata, northwestern Amazon, was studied with the
18 aim of covering the entire last glacial cycle. Although a tall tropical forest seems to have
19 remained unbroken throughout the past ~120,000 years, reanalyses and reinterpretation
20 of data suggest the so-called nonanalog ice age vegetation was more seasonal,
21 resembling present day seasonal tropical dry forests. This agrees with a prolonged
22 annual dry season and polar air advection as the features setting the region biome. The
23 very local paleoenvironmental interpretation cannot be perfectly extended to a broader
24 lowland region because like presently, orographic rain caused the hill to have remained
25 moister and less susceptible to drought, unlike the overall mesic lowland forests of the

26 upper Rio Negro basin. Thus the dry events affected the region more drastically than
27 what could have been assessed by means of a pollen study at the hill. Seasonally dry
28 and moderately cooler is the most probable climatic picture for the region during the last
29 ice age.

30

31 **Key words:** fossil pollen, Amazon, glacial, seasonal.

32

33 **1. Introduction**

34 Over the past few decades a large body of literature has been devoted to explain
35 paleo-environments in the Amazon basin. Traditionally, palynology fairly contributes to
36 paleoecological studies, but the incorporation of different methods, proxies converging
37 from various research areas, has led to more accurate and detailed records. Among the
38 pollen sites in the Amazon, the Hill of Six Lakes is a good example of such picture. To
39 the original diagram (Colinvaux *et al*, 1996) paleochemical analyses were added (Bush
40 *et al*, 2002), which allowed the authors to infer the causes of orbital forcing on local
41 precipitation regimes.

42 Lake Pata is one of the few pollen sites in the Amazon that preserved a
43 continuous, or almost continuous, history. A gap in sedimentation is suggested between
44 35,000 and 26,000 years before present, and the driest phase seems therefore to have
45 occurred in this period rather than in the last glacial maximum (LGM). Nevertheless,
46 the sedimentation at 18,000 is questionable due to the fact that nodular clays may
47 indicate sediment reworking (Ledru *et al*, 1998), and even a pronounced dry early-mid
48 Holocene event is evident from both sedimentation rate and pollen (Mayle & Power,
49 2008). Regardless of the existence of a sedimentary hiatus (Ledru *et al*, 1998; Van Der
50 Hammen & Hooghiemstra, 2000) or at least a markedly lower estimated precipitation

51 (Hooghiemstra & Van Der Hammen, 1998), Lake Pata is constantly cited as a record of
52 unbroken forest, either by those who advocate in favor of a more fragmented LGM
53 Amazon vegetation (Hooghiemstra & Van Der Hammen, 1998; Van Der Hammen &
54 Hooghiemstra, 2000; Haffer & Prance, 2002) or those whose interpretations oppose it
55 (Colinvaux & De Oliveira, 2000, 2001; Colinvaux *et al*, 2001). The published maps of
56 vegetation cover during the LGM (Van Der Hamenn & Absy, 1994; Bush, 1994;
57 Hooghiemstra & Van Der Hammen, 1998; Haberle & Masli, 1999; Thomas, 2000;
58 Anhuf *et al*, 2006) generally place the area of Lake Pata on the edge of a major
59 evergreen forest block westwards, which is surrounded by savannah-like or
60 semideciduous vegetation. Both views support the fact that the maintenance of
61 untouched evergreen forest in Amazonia during glacial times seems unlikely and so
62 does an extreme savannah expansion, which has led to more mosaic reconstructions
63 (Cowling *et al*, 2001; Anhuf *et al.*, 2006), though different interpretations, due mainly
64 to limited data, are still possible (Anhuf *et al*, 2006).

65 The core raised from Pata is almost seven meters deep and spans the last
66 170,000 years, which was inferred from a palaeochemical interpretation of K^+ cation
67 peaks well correlating with precessional cycles (Bush *et al*, 2002), thus being suitable
68 for an age extrapolation. Constraining the basal age of the core in 170,000 by means of
69 using the cyclic nature of the potassium peaks (eight) leads to the assumption of a
70 constant sedimentation rate throughout the complete core, which in turn is in agreement
71 with a simple extrapolation of ^{14}C dates from the upper 1,5 meters. Despite the whole
72 core is suggested to comprises two complete glacial cycles, beginning at the marine
73 isotope stage 6 (MIS 6), pollen data were published for the last 50,000 years only. The
74 objective of the present study is to analyse pollen in sediments covering one full glacial
75 cycle, hence reaching MIS 5e. As a matter of fact, the replicability of pollen analyses

76 can be therefore tested since a large part of the core is being re-analysed, and
77 methodological comparisons will be drawn so as future compilations and reviews take
78 into consideration inherent analytic differences of pollen studies.

79

80 **1.2. The Six Lakes Hill (Morro dos Seis Lagos)**

81 The hill rose after a cretaceous magmatic intrusion (Schobbenhaus, 1984), which
82 also created some other smaller rock outcrops near the Six Lakes, that is located at
83 0°16'N - 66°41'W. The site occupies the Rio Branco-Rio Negro Pediplain at an
84 elevation of 300 meters (Viegas-Filho & Bonow, 1976). Climate is the typical
85 equatorial warm one. The northwestern region of the Amazon basin does not experience
86 a real dry season; there is no month when rainfall is less than 100 mm and annual
87 rainfall ranges around 3000 mm (Sombroek, 2001). The main source of rain is a
88 continental-equatorial air mass, product of ground/vegetation cover evaporation.

89 The little information on vegetation of the Six Lakes Hill comes from the
90 previous study of Bush *et al* (2004), where field observations of the vegetal composition
91 and physiognomy are commented; from RADAMBRASIL (1976), Projeto Seis Lagos
92 held by CPRM (Viegas-Filho & Bonow, 1976), communications with some colleagues
93 who performed botanical collections at the hill (M. T. Araújo and A. C. Weber) and
94 checking some few herbarium sheets from Six Lakes collections (INPA Herbarium-
95 Manaus). The edaphically-constrained vegetation is similar to those of white sand
96 vegetations (heath forests; Whitmore, 1984), not only structurally but also
97 taxonomically. Nevertheless, for the occurrence of true dense-forest trees, bare
98 rock/very thin soil areas, open areas with typical secondary forest elements, the overall
99 vegetation growing on the hill is better called a mixture rather than one single type. At
100 some points, like the Esperança swamp and lakes surroundings, *Maurita*, *Mauritiella*,

101 *Euterpe* and *Ludwigia* are recorded. RADAMBRASIL (1976) includes the Six Lakes
102 Carbonatite into the dense forest classification and point out the high abundance of the
103 tree macucu-de-paca (*Aldina latifolia* Spruce ex Benth.). Furthermore, other *taxa*
104 reported as abundant are *Alchornea*, *Ouratea*, Melastomataceae, Clusiaceae and palms.

105 Modern pollen spectra obtained from moss polsters during one single field work
106 shows high abundance of *Alchornea/Aparisthmiun* that though unusual is reflected in
107 the percentages found in Holocene and Pleistocene sediments of lakes Pata and Verde
108 (Bush *et al*, 2004). Other common forest elements were also identified, mostly trees,
109 and very few herbs.

110

111 **2. Methods**

112 The sediments analysed in this survey come from one parallel core drilled by P.
113 Colinvaux and his colleagues in October of 1991. The field procedure for coring is
114 detailed in Colinvaux *et al* (1999) and more information is found in the methods of
115 Bush *et al* (2004). In the occasion of their work in the Amazon, facilitations were
116 provided by the Federal University of Amazonas (Manaus-AM), where one of the
117 parallel cores was left as testimony material. This core was taken to Italy where Antonio
118 Rossi performed mineralogical analyses at the Università delgi Studi di Modena
119 (Modena-Italy). Later, subsamples were taken for pollen analyses at the National
120 Institute for Amazon Research (INPA-Manaus-AM).

121 During one excursion held in the nineties by a group of INPA, a moss polster
122 near Lake Pata had been collected as asked by one of us (MLA) and since then properly
123 stored. This is now used for pollen rain.

124 Two centimeters subsamples were weighed (around 2 grams) at more or less 10
125 cm intervals. *Lycopodium clavatum* tablets (Batch n° 177745) were added for absolute

126 counting (Stockmarr, 1971) and the methodology used was the standard one: 10%
127 KOH, acetolysis and heavy liquid separation by bromoform (Faegri & Iversen, 1989).
128 Residues were mounted in glycerin gelatin. Precisely 300 pollen grains were counted
129 per sample and other palynomorphs (Pterydophyte spores, algae and fungal spores and
130 hyphae) were counted in parallel to the 300 pollen sum. Pterydophyte spores were
131 separated in every different morph and identified when possible, but algae and fungal
132 spores and hyphae were not. The percentages of spores were based on the pollen sum
133 plus spores sum, algae and fungal remains are presented in the percentage diagram as
134 abundances. The concentration of algae within the sediments was remarkably high, thus
135 it was counted 300 algal cysts per sample and the total abundance paralleling 300 pollen
136 grains is estimated from a simple rule of three. Bush *et al* (2004) set a “rule of thumb”
137 stating that small tricolporate pollen much likely come from trees and shrubs, so they
138 included the unknown tricolporate pollen within the arboreal sum. Based on their
139 evidences and experience on palynology the arguments given for this rule of thumb
140 were that other ecologic groups are more easily identified, like herbs, montane taxa and
141 taxa favored by disturbance but small tricolporate grains from the species rich forests
142 are more complicated to divide into types. We generally agree with this statement as it
143 seems quite reasonable. Nevertheless, unknown pollen grains will not be included
144 within the arboreal sum here. What is more, the precise counting of 300 grains and the
145 differentiation of palynomorphs into as many types as possible gives a more detailed
146 impression to the pollen diagram and hence may diminish the subjectivity carried by
147 different pollen analysts.

148 Pollen identification was aided by pollen illustrations and descriptions published
149 by Absy (1975, 1979), Hooghiemstra (1984), Roubik & Moreno (1991) and Colinvaux
150 *et al* (1999). The Amazon pollen manual and atlas (Colinvaux *et al*, 1999) contains

151 photomicrographs and morphological descriptions of pollen and spores obtained from
152 lake sediments from the Six Lakes Hill, thus being a major aid for identification here,
153 on the one hand. On the other, after comparing the images within the atlas with pollen
154 slides of the pollen collection at the Palynology Laboratory (INPA) we realized that
155 some *taxa* were doubtful, even considering possible intraspecific variation. For instance,
156 the pollen of *Citrus* is listed but this is an exotic species to South America, unless this
157 pollen was found in very recent sediments or a transoceanic dispersion brought some
158 *Citrus* pollen to the lake, it is misidentified (as we think it is). In addition, some *taxa* in
159 the pollen diagram of Bush *et al* (2004) are not described and illustrated in Colinvaux *et*
160 *al* (1999) and even Lecythidaceae that are present in the very original diagram
161 (Colinvaux *et al*, 1996) were as well not included in the atlas. We think that if a *taxon*
162 is present in a pollen diagram it occurs more than once in the fossil record (usually
163 pollen diagrams include *taxa* more than 2%) and hence it is strange that the atlas of
164 Colinvaux and his colleagues omits some *taxa*. This is quite evident when one realizes
165 that the more refined pollen taxonomy presented in the diagrams of Bush *et al* (2004)
166 contain *taxa* that are absent in the 1999 atlas. Palynology of neotropical plants is a hard
167 taxonomic task yet in need of intense study, and we are well aware of the expected
168 divergence among different palynologists, although we found some pollen
169 identifications to be a bit doubtful it is not our aim to discredit the Amazon pollen
170 manual and atlas of Colinvaux *et al* (1999) which still is one of the few guides for
171 amazon pollen taxonomy.

172 The main identification reference used was the pollen collection of the
173 Palynology Laboratory and recent botanical collections at the Hill of Six Lakes
174 performed by a group of INPA added new species that could be compared with the
175 fossil types. Pollen diagrams were built using the program TILIA and TILIAGRAPH

176 (Grimm, 1992) and the separation of pollen zones aided by CONISS (Grimm, 1987).
177 Percentage diagrams present $taxa \geq 1\%$, the rest is grouped within life form or family
178 diagrams. Concentration diagrams present $taxa \geq 5.000$ pollen per gram. The hollow
179 curves within the diagrams are six times exaggerations.

180 The stratigraphy of the parallel core analysed here is the same as the cores
181 previously studied (as described in Bush *et al*, 2004). The chronology was established
182 based upon carbon dates of a principal and a parallel core. ^{14}C dates used for a
183 compared chronology come from three cores already published (two cores in Bush *et al*,
184 2002, 2004 and one core in Santos *et al*, 2001), where all dates are presented in detail.
185 Dates in the pollen diagrams are the same ones as in Bush *et al* (2004). More general
186 information is given in Barbosa *et al* (2004), with new dates from two different cores.

187

188 **3. Results**

189 **3.1. Core Stratigraphy and Chronology**

190 The core is composed of 200 cm carbon rich sediments (regarded as black
191 gyttja) with a discontinuous unity between 60–83 cm which has evidences of oxidation
192 (regarded as nodular yellowish clay). Indeed, 60-63 cm is a thin transitional layer that
193 resembles the oxidized lower unity. The upper 60 cm are pure black gyttja, similar to
194 the gyttja from 83-200 cm, but softer. From 200-300 the composition of the sediments
195 is a brown silty clay, and at two levels (around 205 and 305 cm) this silty clay is of a
196 darker brown.

197 In order to establish a good chronology (Fig. 2), 15 carbon dates were used from
198 the original coring (Bush *et al*, 2002, 2004), and 15 from a different core published by
199 Santos *et al* (2001). The dates in the first two cores provide a robust chronology, but a
200 possibly problematic point, not mentioned before, was found: two dates of 35 BP Ky

201 (ages will be expressed as kilo years Before Present; Ky BP) were obtained at different
202 levels of the principal and parallel core, 105-110 cm and 84.5 cm, respectively. The date
203 at 84.5 was adopted as it is continuous to another one at 82 of the same core and both
204 comprise a smaller interval of sediments.

205 Sedimentation during the upper Holocene (from ~6 Ky BP onwards) and early-
206 mid glacial (>45-35 Ky BP) are similar, ranging around $0.01 \text{ cm year}^{-1}$. The period
207 comprised between these phases demonstrates lower rates of deposition (0.001 and
208 $0.0002 \text{ cm year}^{-1}$) and pollen concentration (around 48,000 grains/g). Data of Santos *et al*
209 *al* (2001) show a general similar trend in sediment accumulation but a very discrepant
210 point is noticed, an erosional event during the last glacial maximum (arrow in Fig.2),
211 evidenced from the nodular clay unity consistent with a high depositional rate from
212 reworked sediment rather than extremely low sedimentation rate as explained by
213 Colinvaux *et al* (2000) and Bush *et al* (2004). Although published prior to the new
214 pollen work, Santos *et al* (2001) is not cited by Bush *et al* (2004).

215 The cores studied by Santos *et al* (2001) and Barbosa *et al* (2004) were analysed
216 regarding total organic carbon (TOC) and water content. What corresponds to the
217 erosional unity (~30 to 15 Ky BP), much lower carbon was recorded, water is also
218 lower but the lowest water level content is actually found around 15-10 Ky BP. The
219 authors explain this hiatus as a result of torrential rains in a more seasonal and cooler
220 climate, which made sediments to be remobilised with the deposition of clastic material.

221

222 **3.2. Pollen rain—por as zonas aqui....pollen rain antes...**

223 The sample of moss polster analysed for pollen rain yielded a richness of 41 *taxa*
224 of pollen and only two types of pteridophyte spores (Fig. 3). Such richness is higher
225 than the average of five other samples analysed by Bush *et al* (2004), that was 32.2, but

226 the spectra identified is similar. The most striking difference was an extreme dominance
227 of *Protium*, only explained by trees of this genus being near the moss polster when
228 collected, not to mention that moss polsters as pollen rain indicators fail to comprise
229 longer periods of the year, but generally few months. *Alchornea/Aparisthium* are
230 important but not as abundant as the previous analyses, contrarily to the statement that
231 field observations did not match with this *taxon* being a major contributor to pollen rain
232 (Bush *et al.*, 2004), the recent botanical collections at the hill confirm
233 *Alchornea/Aparisthium* is very abundant, and thus a good tool to infer present day
234 vegetation.

235 Much interestingly, we found two grains of *Myrsine*, like Bush *et al.* (2004), who
236 found one. This confirms that *Myrsine* may be presently contributing to the pollen
237 spectra, or it might be that a related *taxon* misled us all to a wrong identification, in
238 spite of *Myrsine* pollen being quite easy to identify.

239 Many *Anthurium* pollen and another probably Araceae pollen were found, their
240 non representation in the fossil spectra is much probably due to selective preservation as
241 these grains are fragile. Moraceae/Urticaceae is relatively abundant (10%), in the
242 previous study it reached 15% in one sample, out of five, but is generally less frequent.
243 In relation to spores, 25 monoletes were counted and only one *Selaginella*, making up
244 12% of the pollen sum.

245

246 **3.3. Pollen taxonomy and ecological features**

247 The overall taxonomic resolution was very similar to the previous published
248 identified *taxa*. However, some differences are evident and will be concerned here.
249 Firstly, pollen types of *Mauritia* and *Mauritiella* were thought to be distinguishable and
250 thus compose two different diagrams. Indeed, some morphological variation may rise

251 uncertainty in distinguishing them, but two morphotypes (Fig. 4 a-b) were so clear that
252 any error in separating them is smaller than gathering them into one single type. The
253 most evident difference, which actually made us separate them, was observed in one
254 sample (55-57 cm) where a high abundance of the *Mauritia* type was found. These two
255 types were identified and separated in Colinvaux *et al.* (1999).

256 An important type, mainly in Holocene sediments, was safely identified as
257 *Ouratea* (Fig.4 i-j and m-n) by comparing the fossil with an *Ouratea* recently collected
258 at the hill (Fig.4 k-l and o-p), which is an abundant component of the mesic forest. This
259 type is correspondent to *Copaifera* in the previous diagrams and atlas (Colinvaux *et al.*,
260 1999, page 274, figure 219).

261 An abundant pollen grain through the entire core was identified as *Aldina* (Fig.4
262 g-h). It is possible that this type was previously misidentified as *Amburana aff.*
263 *cearensis* (Colinvaux *et al.*, 1999, page 277, figure 239), which is a typical genus
264 occurring in the Cerrado and Caatinga vegetations. This fossil type was extremely
265 similar to two *Aldina* species within our pollen collection (Fig.4 c-f), but we did not
266 have the specimen presently occurring at the hill, which is locally very abundant
267 (RADAMBRASIL, 1976 and M. T. Araújo, *personnal communication*) and thus
268 ensures our identification. RADAMBRASIL (1976) lists “macucu-de-paca” (*Aldina*
269 *latifolia* Spruce ex Benth) at the hill and at least four more vernacular names are cited to
270 occur in the vicinities of São Gabriel da Cachoeira (Manaus-AM), for the fact that
271 vernacular names are often unreliable at the species level (Ter Steege *et al.*, 2006) we
272 can be neither sure of the species level identification nor whether only one *Aldina*
273 species occur at the hill. In addition, there are well documented varieties that probably
274 contribute to pollen morphology variation. RADAMBRASIL’s phytogeographical
275 inventories found *Aldina* broadly spread in the upper Rio Negro basin, occupying

276 lowland and submontane dense tropical forests, open submontane forests with palms
277 and dense alluvial or submontane arboreal Campinaranas (dense heath forests).

278 Some legume pollen grains are difficult to distinguish into reliable types and are
279 generally grouped as Fabaceae (or its subfamilies), in the Pata fossil record some genera
280 of the Caesalpinioideae and Papilionoideae prevented us from creating a subfamily
281 group. Remarkably, *Bowdichia* and *Cassia* can be confused depending on the view,
282 which many times is neither polar nor equatorial, but somewhat oblique. Apart from the
283 types shown in Colinvaux *et al* (1999; figure 214, page 273 and figure 240, page 277) at
284 least two other types of *Bowdichia* and *Cassia* in our pollen collection were seen to be
285 hardly distinguishable.

286 Other taxonomic differences are minor and less important, other aspects of the
287 present fossil record would be more relevant for a different paleoecological interpretation
288 than the pollen taxonomy itself.

289 Ecological affiliation groups were conservative, especially concerning the
290 montane group. We have seen in overall literature, from herbarium sheets and
291 communications with experienced colleagues that *Ilex*, *Humiria* and Ericaceae are
292 commonly found in heath forests, not to mention that the present day pollen spectra at
293 the hill shows *Ilex* relatively well represented, as well as Ericaceae. The genus
294 *Gaylussacia* cannot be distinguished from *Agarista* (Colinvaux *et al*, 1999) and thus it
295 is questionable whether the fossil type indicates cold conditions inferred from the *taxa*
296 confined to montane vegetations, or arid conditions inferred from the present day
297 distribution of shrubby *taxa* from Cerrados where both genera are recorded (Von
298 Linsingen *et al*, 2006). Importantly, an indicator species of Campinaranas is
299 *Gaylussacia amazonica* Huber, and hence its representation may indicate canopy
300 openness. What is more, *Satyria panurensis* (Benth. ex Meisn.) Hook. f. ex Nied. That

301 was collected has a very similar pollen to *Gaylussacia/Agarista*. For the above cited
302 reasons we regard as montane taxa only *Podocarpus*, *Myrsine*, *Hedyosmum*,
303 *Weinmannia* and *Alnus*.

304

305 **3.4. Zoning**

306 The pollen record of Lake Pata does not show too discrepant zones. The
307 Holocene, the late glacial and the last interglacial are quite clearer than other zones,
308 though strong biome changes are not intense. For both crude zones and sub-zones
309 creation we used percentage and concentration data from pollen, spores, algae and
310 fungal remains. The nature of sediments was also an important aspect taken into
311 consideration for zonation, and so was dating, but dissecting the glacial into its phases
312 did not influence pollen zones as much as the palynological assemblage itself. The
313 CONISS dendrogram helped the zones setting but the main establishment was visual.
314 The following descriptions reveal the main reasons why each zone was adopted
315 according to palynological configurations that may be indicative or distinctively
316 recognizable, at least at a local extant. Percentage diagrams are found in Fig. 5.

317 3.4.1. *Zone 1 (305-285 cm)*. At the beginning of the record a high and distinctive
318 peak of *Mauritia*, coupled with the nearly absence of monate elements (0-1,2%) and the
319 indicative representation of palms, Anacardiaceae, Moraceae/Urticaceae, *Ouratea*,
320 *Laetia*, *Casearia*, *Protium* and *Cecropia*, raise a great similarity with holocenic
321 sediments. Concentration data (average of ~540,000 grains/g) are comparable to those
322 of the Holocene, the same behavior is also found in the counts of fungal remains and
323 algae. This zone is unique in a high occurrence of *Pteris/Pityrograma*.

324 3.4.2. *Zone 2 (285-200 cm)*. This zone is subdivided into three periods, the first
325 of which (2-A) has a peak in *Alchornea/Aparisthmium*, followed by slight increases in

326 *Sagittaria*, Anacardiaceae and *Protium*, a decrease in total ferns, and a still high
327 concentration of algae and fungal remains. Total concentration (average of 165,000
328 grains/g) and the absence of *Mauritia* were indications for setting a new zone rather
329 than extending zone 1 until the upper limit of sub-zone 2-A (247 cm).

330 Subsequently, 2-B has contrasting abundances of fungal remains and algae,
331 though both concentrations are very low. Some important *taxa* have a markedly similar
332 behavior in this zone (*Alchornea/Aparisthmium*, Moraceae/Urticaceae, Combretaceae
333 /Melastomataceae and Myrtaceae) as well as Sapotaceae 1 and Bignoniaceae 2. The
334 highest peak of *Aldina* throughout the core is comprised between zones 2-B and 2-C,
335 and in 2-C ferns like *Asplenium/Blechnum* and *Polypodium* 1 are abundant while fungal
336 remains are not.

337 3.4.3. *Zone 3 (200-82 cm)*. Along this period algae are low, ferns are
338 comparatively moderate and fungal remains reach their maximum representation. Given
339 the suppressing trees percentage, herbs are noticeable as well as montane elements.
340 *Ouratea* is a feature of the zone with an initial peak, but among the most abundant types
341 no remarkable variation is clear. Indeed, a perception of this zone would be a high
342 number of rare elements appearing and disappearing, which would then cause a marked
343 turnover. Among an average of ~150,000 grains/g in zone 3, a high pollen concentration
344 (380,000 grains/g) is seen at 175 cm and very low ones at 126 and 136 cm, 76,000 and
345 41,000 grains/g respectively. Sedimentation rate between 113 and 160 cm is 0.021 cm
346 year⁻¹. In subzone 3-B, algae and fungi are decreasing while ferns increasing,
347 sedimentation is lower, 0.002 cm year⁻¹, that is when black gyttja ends and a new
348 stratigraphic unity appears.

349 3.4.4. *Zone 4 (82-58 cm)*. This zone corresponds to the full-late glacial period
350 (35-12 Ky BP), sediments are oxidized, nodular clays. The first portion of the zone (4-

351 A) has more algae, fungal remains, and less ferns, *Podocarpus* reaches its highest
 352 representation (5%). *Mauritia* and *Mauritiella* are present before shrinking to zero in 4-
 353 B, where ferns reach their highest peak. *Ilex* and *Hedysomum* have their highest
 354 percentages, Poaceae is only 3,6%. One single grain of *Alnus* was found. Zone 4 is the
 355 lowest concentrations of the core, averaging around 48,000 grains/g and reaching
 356 37,000 grains/g at 69-71cm. At this sample, no algae cyst and fungal hyphae were
 357 found, but only 6 fungal spores. A portion of 27% of the grains could not be identified,
 358 many of which were broken and smashed, likewise many of the spores that given the
 359 very low total pollen concentration, have a remarkably high concentration here. This
 360 zone also includes a small distinct layer between 60 and 63 cm (not shown in the
 361 lithology of the pollen diagram) that is somewhat grayish. Sediment were deposited at a
 362 very slow rate, about 0,0017 (4-A) and 0,0026 (4-B) cm year⁻¹, but an even slower rate
 363 is found between 82-84 cm (0.00028 cm year⁻¹).

364 3.4.5. Zone 5 (58-0 cm)...**rever sequencia...** The Holocene is more evident for
 365 high abundances of palm pollen combined with *Sagittaria*, not to mention all pollen
 366 *taxa* cited in Zone 1. Total pollen concentration is very high (average of ~385,000
 367 grains/g), reaching ~653,000 grains/g at 20 cm. The first sample analysed (9-11 cm) had
 368 one single grain of *Podocarpus* and the third (29-31 cm) two of *Hedysomum*. During the
 369 early Holocene, total pollen concentration is lower, 130,000 grains/g. Subzone 5-A has
 370 a markedly high peak (64%) of *Mauritia* and at this same sample total ferns are still
 371 high, tapering upwards. The upper Holocene has the lowest ferns counts of the entire
 372 profile. Sediments in zone 5 are soft black gyttja, that accumulated at different rates of
 373 approximately 0.001 (5-A) and 0.012 (5-B) cm year⁻¹.

374

375 3.5. Concentration of palynomorphs x Sedimentation

376 The pollen histories of lakes Pata, Verde and Dragão (Bush *et al*, 2004) revealed
377 a complex pattern of pollen accumulation in sediments. Nodular clays and more soft
378 gyttjas did not follow one only trend in pollen concentration, but reverse ones. Simple
379 predictions could be of (i) higher pollen concentrations in more carbonous sediments,
380 like black gyttjas, and, to the contrary, (ii) lower concentrations in less carbonous
381 sediments, like nodular ones that may have evidence of oxidation. Nevertheless, the
382 general assumption of Bush *et al* (2004) is that where sedimentation is slower, more
383 pollen grains will concentrate and, contrarily, where sedimentation is fast, less pollen
384 will. For instance, the nodular clay unity in Pata's lithology (63-83 cm) had very high
385 pollen concentrations (600,000 and 800,000 grains cm⁻³) and is explained as (quoted
386 literally) "...Such high concentrations were consistent with a very slow accumulation
387 rate, and suggest that the sediments were not dry enough to be fully oxidized", hence
388 neither (i) nor (ii), but (iii).

389 Analyzing all three diagrams we see important differences regarding total
390 concentration (TC). Dragão diagram shows low TC at the yellowish nodular gyttja unity
391 (ii), the peak of concentration at a khaki nodular gyttja unity (iii) and still high
392 concentration at a green-gray gyttja unity (i). The low TCs during mid-Holocene are
393 explained by more profound drying with oxidation playing a role. Spores concentration
394 follows the same overall pattern, low and high concentrations concomitants to the TC.
395 Pata shows high TCs at the black gyttja unities (i) but extremely higher peaks at the
396 yellowish nodular gyttja (iii). At 60 cm, a percentage peak of more than 60% of the
397 spore *Blechnum* is completely absent in its concentration diagram, this is strange
398 because once being shown separately one would expect to see a correspondent
399 concentration diagram according to the percentage one. Verde has high TCs at black

400 and green-gray gyttjas (*i*) and also low TC at green-gray gyttjas (*ii*). Like Dragão, and
401 unlike Pata, spores concentration in Verde is high when TC is high.

402 The conclusion that can be reached from all three concentration diagrams is that
403 high TCs track slow sedimentation rates, but predicting concentration from lithology is
404 not accurate. This is best evidenced by seeing that the nodular yellow clays in Pata and
405 Dragão have, respectively, high and low total concentrations. Likewise, the khaki
406 nodular gyttja unities in Dragão and Verde have, respectively, high and low
407 concentrations.

408 The contrasting concentration values of nodular clays during the late glacial (22-
409 12 Ky BP) in Pata and Dragão, no sediment accumulation during the full glacial (35-22
410 Ky BP) in Dragão, very slowly in Pata with nodular clays, and coarsely laminated
411 sediments in Verde, not to mention the evidence for millennial hiatuses, give rise to an
412 interpretation at local scale of all lakes having dried up either occasionally or for
413 millennial periods.

414 Our concentration data (Fig.6) strikingly contrasts with previous values at Pata.
415 Assumptions of low pollen concentration in less organic rich sediments (*i*) and the
416 contrary (*ii*) are readily recognized. During the full-late glacial and early Holocene,
417 concentrations are lower, in contrast to the upper Holocene and last interglacial. We
418 therefore suggest oxidation played an important role in diminishing pollen quantity,
419 which very well fits the argument of accumulation of clastic material by remobilisation
420 (Santos *et al*, 2001; Barbosa *et al*, 2004). A support for this is the high amount of spores
421 when concentration reaches its minimum. Spores tend to be more abundant when humid
422 conditions favour them to grow near depositional sites, or when sediment reworking
423 selectively preserved more spores due to their better resistance (Colinvaux *et al*, 1999),
424 the latter is obviously the case.

425 All these conclusions are notwithstanding supported by data from Carajás (Absy
426 *et al*, 1991). The more organic rich is the sediment, the more abundant forest elements
427 are represented, and higher is pollen concentration. To the contrary, when clastic
428 material is accumulated, pollen concentration drops sharply (Absy *et al*, 1991 and Absy,
429 unpublished data). Other pollen sites where concentration data are available provide
430 important similarities, like in SW Amazonia (Noel Kampf), the low concentration of
431 pollen is explained by low sedimentation rates that caused superficial sediments to be
432 oxidized (Burbridge *et al* 2004). Likewise, in Central Brazil (Vereda das Águas
433 Emendadas; Barbieri *et al*, 2000), the drastic decrease in palynomorphs concentration is
434 interpreted as drier and more seasonal climatic conditions, also during the LGM and
435 early Holocene.

436

437 **4. Discussion**

438 **4.1. Inferring the vegetational type from the fossil record**

439 *4.1.1. Interpretation of the zones.* A “climatic optimum” similar to those of
440 upper Holocene is probable to have existed in Zone 1, for all characteristics mentioned.
441 The nature of the sediment, the palynomorphs concentration and the pollen assemblage
442 leads to the interpretation that the high occurrence of *Pteris/Pitirograma* indicates
443 superhumid conditions rather than any depositional feature related to selective
444 preservation. Such palynological data suggest this zone comprises the last interglacial
445 period, that has its lower boundary at about 110 Ky BP. However, it is not possible to
446 establish precise boundaries in this zone as our analyses end up abruptly on its onset.
447 From zone 2 to 4 the inferred climatic configuration suggests a slightly drier and cold
448 condition, seen from lowered lake level and cool adapted taxa. Such conditions are
449 stronger in Zone 4, where the lithological characteristics coupled with palynological

450 data indicate a drying of the lake. Finally, the Holocene shows two distinct phases (5-A
451 and 5-B), with a superhumid condition like present having been reached around 6 Ky
452 BP, before that the climate was probably still more seasonal and lake level lower. Zone
453 5 is the correspondent Pata 4 zone in Bush *et al* (2004), where it is mentioned that, “An
454 unknown pollen type, a small tricolporate reticulate grain occurred as a rare component
455 in many samples, but reached a peak occurrence of 55% in a single mid- Holocene
456 sample”. This is very much surprising since no pollen percentage scale even reaches
457 50%, and once small tricolporate grains were included in the ‘other arboreal’ sum (their
458 ‘rule of thumb’), it would be presumably expected to see the ‘other arboreal’ reaching
459 this 55% at such sample, but it did not. Possibly this pollen type was somehow omitted,
460 or an analytical problem caused this outcome.

461 In general, pollen zones become rather artificial if one thinks of vegetation, but
462 not if limnological conditions are taken into account. We suggest that higher total
463 concentration and algae percentage are evidences for enhanced lake eutrophism, like for
464 instance during interglacial times.

465

466 Major biome changes are easy to be identified in a pollen record, like the
467 replacement of forest by savannah. The nearly constancy of pollen assemblage through
468 Pata’s history can be interpreted as unbroken forest with elements that are presently
469 confined, though not necessarily (Van Der Hammen & Hooghiemstra, 2000), to
470 montane areas, and this has been shown to compose a nonanalog vegetation (Behling,
471 2001; Colinvaux *et al*, 2001a, Ledru *et al.*, 2001, Burbridge *et al.*, 2004), being a
472 modest cooling the factor governing plant communities reassortment. While this
473 hypothesis becomes a strong ecological statement, if not yet, it is still questionable

474 whether a cooler and wet, or cooler and dry climate prevailed. In addition to this, the
475 extent to which plant communities reassorted can be tested.

476 The best way to calibrate paleopalynological interpretations is to analyse them
477 under the light of present day pollen spectra. Up to date, the most informative and
478 distinctive pollen rain data for the neotropical lowland region is provided in Gosling *et*
479 *al* (2009), who comparatively studied different vegetational formations in southwestern
480 Amazonia. The strongest differentiation possible to be made is assigning
481 Moraceae/Urticaceae (>40%), *Cecropia* (>3%), *Hyeronima* and *Celtis* as indicative of
482 moist evergreen tropical forests. While *Hyeronima* and *Celtis* are rare elements in our
483 records, not suitable for such a discussion, Moraceae/Urticaceae and *Cecropia* are found
484 in both pollen rain samples and sediments. All six pollen rain samples (five in Bush *et*
485 *al*, 2004, and one here) are very similar regarding the abundance of these two *taxa*,
486 Moraceae/Urticaceae never exceeds 15% (generally less) and *Cecropia* is rare, we
487 found only two grains (<1%) and Bush *et al* (2004) certainly less than 2% in only one
488 sample. To the extent comparisons can be drawn (given the non systematic nature of
489 both pollen rain studies), the two records indicate a moderate lower representation of
490 evergreen forest during the middle to late glacial, probably due to mesic conditions at
491 the hill instead of complete tall forest cover. On the one hand, we reckon a
492 methodological problem might have decreased the quantities of very small grains
493 (Salgado-Laboriau, 2007) like *Cecropia* and some Moraceae/Urticaceae, which we do
494 not believe bias our interpretation; but on the other, it is noticeable that our
495 Moraceae/Urticaceae abundances are more similar to the pollen rain spectra and hence
496 may not be biased. Anyway, the lower abundance of such grains allowed other types to
497 be better represented, and this was crucial for recognizing the local paleovegetation.

498 In woodland savannah and seasonally dry tropical forest (SDTF), the presence of
499 spores is more indicative of these vegetation types than it is for evergreen forests. The
500 upper Holocene sediments of lake Pata contained few spores, and during the full-late
501 glacial they have higher richness of types and relative abundance. This may be another
502 argument in favour of a more seasonally dry vegetation. Bush *et al* (2004) interpreted
503 spores abundance as a signal typical from evergreen moist forests, we do not, however,
504 believe so, because when one compares glacial time sediments to Holocene ones, the
505 difference in both richness and abundance is high. Given that no other period has
506 moisture levels as high as the Holocene (apart from the last interglacial), an important
507 argument raises for assigning spores to a community change. It is also true that not only
508 SDTF show higher abundances of spores, but also montane communities when
509 compared to near lowland ones (Weng *et al*, 2004). What is more, the presence of
510 montane elements does not preclude the existence of a SDTFs, for instance Rodgers &
511 Horn (1996) found montane elements like *Weinmannia* in a pollen rain study performed
512 at a SDTF.

513 With regard to Poaceae pollen, some evidences indicate the possibility of open
514 vegetation like the woodland savannah not being dominated by Poaceae in the fossil
515 records (Ledru, 2002; Golsing *et al*, 2009). Indeed, even a gradation was found by
516 Ledru (2002), showing more grass represented in the pollen rain samples according to
517 the degree of openness of the cerrado formation, thus exhibiting an expectable relation
518 of the degree of canopy openness with grass pollen representation, and hence providing
519 an important argument as a more sensitive proxy. Nevertheless, using Poaceae pollen as
520 sensitive indicators in forested areas is rather problematic, it may become a good
521 indicator of precipitation and seasonality change when rainfall falls below 2,000 mm
522 (Bush, 2002). Present day precipitation at the Hill of Six Lakes is around 3,000 mm, if

523 the predictions of Van Der Hammen & Hooghiemstra (2000) are correct, precipitation
524 may have reached some 1,500 mm, in events when the lake dried up. In this case we
525 may be on the edge of Poaceae pollen becoming an indicator, and in spite of still being
526 a poor tool for reconstructing the local paleoenvironment, the moderate increase in
527 Poaceae and other herbs may signal some openness during mid to late glacial (seen in
528 pollen zones 3 to 4, likewise montane elements).

529 When comparing pollen rain data with fossil assemblages of Lagunas Bella
530 Vista and Chaplin (Burbridge *et al*, 2004), Gosling *et al* (2009) point out evidences of
531 dry forest during the last glacial being represented by *Machaerium* type,
532 *Paullinia/Roupala* and Myrtaceae, and others, which are also found in Pata's record.
533 The decrease of these *taxa*, coupled with the increase in Moraceae/Urticaceae
534 abundance would mark the beginning of present day climate and forest structure. This
535 trend is also seen in the Lake Pata record, in a more moderate level. Indeed, not only
536 Myrtaceae but also Combretaceae/ Melastomataceae and *Pouteria* (majority within
537 Sapotaceae 1) can be components of SDTF and woody savannah's pollen rain spectra,
538 respectively, and the same trend above cited being found for
539 Combretaceae/Melastomataceae and *Pouteria* may indicate, again, the presence of dry
540 forest at the hill during the last glacial. Other *taxa* that are often cited as important
541 components of SDTF are legumes and Bignoniaceae (Pegnington *et al*, 2000), the
542 present record shows very clearly the higher percentages of Fabaceae prior to Holocene.
543 Bignoniaceae is likewise similarly well represented. Some *taxa* within Fabaceae are
544 especially important as indicators of drier forests, like *Bowdichia*, though not
545 quantifiable (see results 3.3). Apocynaceae and Rubiaceae may show the same
546 indications.

547

548 4.1.2. *What was the vegetation really like?*

549 After the publication of the first pollen diagram from the Hill of Six Lakes
550 (Colinvaux *et al*, 1996), Pennington *et al* (2000) raised the possibility of a broad
551 expansion of SDTF based on the similarities at generic level of the fossil assemblage at
552 Pata with SDTFs. Bush *et al* (2004) refuted this hypotheses based on the distinctive
553 Poaceae pollen representation in savannahs' pollen rain studies and on the lack of high
554 quantities of charcoal. Moreover, a pattern of no *taxon* being overrepresented and a
555 general high arboreal diversity would be crucial for viewing the paleovegetation at the
556 hill as clear signal of uninterrupted forest cover, what is interpreted as long-term
557 lowland biome at the regional scale, with only cooling playing a role in reassorting the
558 vegetation.

559 However, for all reasons discussed above (4.1) we claim there is room for the
560 interpretation that during the last glacial period the vegetation at very local scale had a
561 structure similar to those found nowadays in dry forests. It is obvious that using the
562 blend of most abundant *taxa* for such interpretation can be misleading, since they
563 belong to different vegetation types. So a good comparison would be seeing how the
564 same controversial *taxa* behave in other pollen site in Amazonia, where the vegetational
565 change is clearer. Carajás share some of the most abundant *taxa* with Pata,
566 *Aparisthium*, Melastomataceae and Moraceae have the general same trend of
567 abundances: *Alchornea* is an abundant element of the upper Holocene and low
568 represented during the glacial period, Moraceae shows the same pattern, and
569 Melastomataceae the contrary, being more representative during the glacial (Absy *et al*,
570 1991 and Absy, unpublished data). The strongest difference is openness evident from
571 herbaceous pollen. At Maicuru (Colinvaux *et al*, 2001b), where a clear sedimentary gap
572 of almost 15 Ky exists during the full-late glacial, similarities are found for

573 *Alchornea/Aparisthium*, *Cecropia*, Melastomataceae, Myrtaceae and
574 Moraceae/Urtiaceae.

575 The best indicator proving the existence of non-analog vegetation during most of
576 the last glacial period, at least at the very local scale, is *Aldina*. Its present altitudinal
577 range never exceeds submontane biomes, and its representation in the fossil record is
578 nearly constant throughout the core (Fig. 5 and 6). This, coupled with the invasion of
579 montane elements, suggests the level of NAV's reassortment as a moderate one.

580 *Aldina* is widespread in the Rio Negro basin, often cited in the RADAMBRASIL
581 (1976) inventories. In Central Amazonia, *A. latifolia* is very common and dominant in
582 black-water flooded forests (Parolin *et al*, 2004). In relation to scleromorfism, which is
583 characteristic of all campinas types, populations of *A. heterophylla* studied near
584 Manaus showed similar degrees of leaf scleromorfism when comparing different
585 habitats of Amazon caatingas (open, tall and shaded), but showed better environmental
586 adaptation for tall campinas (Araújo & Mendonça, 1998). At the same site Roberts *et al*
587 (1999) showed that leaf flush pattern is linked to the start of the dry season, defined by
588 the first month where potential evapotranspiration exceeds precipitation. Individuals of
589 *Aldina* collected in the Pico da Neblina National Park, near the Hill of Six Lakes, have
590 dry, large-seeded sclerocarpic fruits comparable to those of the Guaiana Shield legumes
591 (Boubli, 2002). It is clear that *Aldina* commonness is related to its ecological
592 successfulness and plasticity, inferred mainly from the fact that it tends to be more
593 abundant in habitats where water stress has an influence in the physiognomy (e.g.
594 flooded forests and heath forests of Hydromorphic Spodosols). We then hypothesize
595 *Aldina* was able to resist slight climatic changes within the hill by means of displaying
596 phenotypic plasticity, to a extent sufficiently resistant to cooling, or the other way

597 round, temperature depression was not high enough to destabilize *Aldina* population,
598 whereas climatic changes may have affected more other *taxa*.

599 Unquestionably, the hill underwent a cooling during the last ice age, more
600 evidently at the glaciation peaks. The most important paleobotanical record is the
601 occurrence of *Podocarpus*, *Hedyosmum* and *Weinmania*, which is consistent with a
602 temperature depression in the order of 4-5%. For its higher abundances, *Podocarpus* is a
603 key *taxon*. Bush *et al* (2004) stated that three phorms of *Podocarpus* pollen were found,
604 two of which differ mainly in size, and the photomicrographs show these three phorms
605 but has no scale bar. The two most common *Podocarpus* species in Brazil (*P. sellowii*
606 and *P. lamberti*) have been studied by Barth (1962) and the author concluded it
607 impossible to distinguish between the two species using their sizes. In addition,
608 *Podocarpus* found in the pollen spectrum of bees in Manaus showed a great variation in
609 pollen shape and size (Marques-Souza, *in press*). Unlike Bush *et al* (2004), we do not
610 believe three species invaded the hill, this would be underestimating variation in pollen
611 morphology.

612

613 **4.2. Other regional evidences**

614 Some independent sources from geological studies provide evidences for a drier
615 climate in north Amazon. Upstream the Rio Negro course, clear relict eolian dunes
616 strengthen the argument claiming for drier periods during Peistocene-Holocene in the
617 region (Carneiro-Filho *et al*, 2002). In the Roraima-Guayana region, again relict eolian
618 dunes were found and suggest a late Pleistocene dry period, with wind direction the
619 same as that of the modern annual dry season (Latrubesse & Nelson 2001). In such
620 cases, because dune activity suggests a very sparse vegetation cover, the late glacial
621 scenario is even more drastic than those predicted from pollen sites, especially Pata.

622 Eolian activities are elsewhere seen in central Amazonia (Iriondo & Latrubesse, 1994).
623 With respect to the Negro Basin, it is interesting to notice that the mid glacial alluvial
624 deposits carried abundant quartz sand, which can be accounted as a product of more
625 seasonal conditions in the upper chachment (Latrubesse & Franzinelli 2005). Dry late
626 glacial conditions are as well evident from different landforms, where aridity affected
627 steam activity and semi-arid forms occurred at the LGM (Thomas & Thorp, 1995).

628 The regional morphoclimatic evolution, as discussed in RADAMBRASIL
629 (1976), reveals a generalized past dry climate. The pleistocenic pediplanation which
630 took place in the Rio Branco-Rio Negro region created several small residual inselberg-
631 type reliefs that have just recently been colonized by vegetation covers. Furthermore, at
632 some sites within the Pediplain, incompletely consolidated ferruginous concretions very
633 clearly indicate that there was an interval of climate with dry and humid season during
634 the elaboration of the Pediplain. Although such structures can date some million years
635 (as argued by Colinvaux & de Oliveira, 2001), many stone lines in the Amazon are
636 Pleistocene aged and have present day analogues in savannah patches within the
637 Amazon (Costa, 1991).

638

639 **4.3. Lake level and seasonality**

640 Variation in lake level was explained as a cause of orbital forcing (Bush *et al*,
641 2002). Precessional cycles would have reduced the precipitation during the wet season
642 (December-January-February, DJF), and therefore the long episodes of lake lowstand
643 would enhance productivity of planktonic and benthic algae. These phases of lake
644 eutrophism are suggested to have concentrated K^+ within the system which was inferred
645 from the paleochemical record. All this interpretation is based on the cyclic nature of K^+
646 peaks and algal blooms coinciding with these peaks at the nodular sediments, but only

647 one peak is comprised within the range of C^{14} dates, around 15 Ky BP, when
648 sedimentation is very slow. Moreover, some estimates of algal remains concentrations
649 are given, but not systematically like it is here. Although it may be questionable that
650 counting algal cysts is somewhat biased by cysts fragmentation, samples prepared
651 equally and counted by the same analyst are fairly comparable among them.

652 Our quantitative data contrasts with the previous interpretation because samples
653 at the nodular clay unity presented comparatively low concentrations of algal cysts (Fig.
654 6). Indeed, between 69 and 71 cm, which dates back to the LGM, no algal cyst was
655 recorded. Hence, during the LGM the lake may have dried up for longer than
656 episodically. The low algae concentration extends up to the early Holocene, and is very
657 high at the upper Holocene as well as at the last interglacial. We cannot strongly refute
658 the intricate lake level dynamics because our subsampling interval is less refined than
659 the previous analyses, but our data firmly suggests that eutrophism, and hence algae
660 concentration, has a relationship with humid periods, that is, if sedimentation was
661 always constant. However, the erosional event proposed by Santos *et al* (2001) agrees
662 more with our data suggesting a drier and more seasonal LGM. Actually, if
663 sedimentation was never interrupted we have a clue for a slightly humid interval during
664 the late glacial- LGM, when algae and fungal remains increase while total spores, which
665 may then be related to sediment remobilisation (through selective preservation),
666 decrease (pollen sub-zone 4B).

667 Because it is seasonality and not total precipitation that sets biomes where
668 rainfall is >2000 mm (Sternberg, 2001; Bush *et al*, 2004b) and no significant change in
669 pollen assemblage was found, Bush *et al* (2004) interpreted there was no decreased
670 precipitation during June-July-August (JJA). It is quite reasonable to expect
671 precipitation reached at least 2000 mm during dry phases of the last glacial and even

672 less in events when the lake dried up (Van Der Hammen & Hooghiemstra, 2000). In
673 addition, local convective activity is the responsible for most rain events
674 (RADAMBRASIL, 1976), and this continental moist air mass certainly significantly
675 diminished its strength since vegetation cover was not as massive as nowadays during
676 the glacial period, which reflects in lower water supply from the evaporating ground.
677 An orographic source of moisture cannot be discounted, and neither can the effect
678 lowered temperatures cause in evaporation, especially uphill. All this, coupled with the
679 evidences of torrential rains that caused erosion, pollen data indicative of some
680 moderate community reassortment resembling dry forests, low lakestands more
681 plausibly occurring during enhanced “dry” seasons, not to mention evidences from
682 other sources of data suggest an overall scenario far from untouched forest cover. Based
683 on the combination of several data sets, we propose the regional climate was seasonally
684 drier during the last glacial cycle, especially at the full glacial period.

685

686 **4.4. Climatic evolution**

687 By constraining the basal age of the core in 120,000 BP, the major climatic
688 events of the last glacial period become consistently reflected in the lake system (Fig.7).
689 Phases of lake eutrophism, when algae and pollen concentration are higher, coincide
690 with “climatic optimums”, these are MIS 5e, 5a, onset of MIS 3 and MIS 1. On the
691 contrary, phases of ice sheet enhancement generally coincide with lake lowstand, and
692 poorer preservation, MIS 5e, offset of MIS 5, MIS 4, MIS 2 and onset of MIS 1. The
693 important role that selective preservation plays is readily seen when one realizes pollen
694 concentration is somewhat in contrast with spores percentages, especially in strong
695 sedimentary events like the LGM. With total absence of algae cysts, spores peak, and,

696 interestingly, with a slight increase in pollen and algae concentrations, spores fall in the
697 onset of MIS 2 (pollen sub-zone 4-A).

698 Changes in lithology also reflect climatic phases. The only contrasting point is
699 MIS 5e and MIS 4, when dark brown silty clays seem to follow different environmental
700 conditions, however the formation of such sediments is unclear and our biotic proxies
701 are consistent with major global climatic events. Changes in the biotic proxies not
702 reflected in the lithological unities may be due to more complex bioclimatic settings not
703 prone to analogies with isotopic stages. For instance, the hypothesis of Bush *et al*
704 (2002) of K^+ peaks owing to lake lowstand and thus enhanced eutrophism, is not
705 mutually exclusive from oxidation playing a major role in establishing palynomorphs'
706 concentrations. If short phases of enhanced eutrophism caused by lake lowstand did
707 incorporate K^+ in the sediments, poorer preservation in the intervening troughs
708 diminished concentrations, being the later a grosser proxy than the first, but more
709 reliable since absolute counting is less likely to errors. Finally, how to explain
710 sediments without algae remains if not by oxidation? Furthermore, dating the basal age
711 of the core by means of using the cyclic nature of K^+ stands in sharp contrast to our
712 suggesting of dating around 300 cm to the last interglacial, which we think is pretty
713 more consistent based on the indicative pollen assemblage. If their extrapolation is
714 correct, it would mean that the same sedimentation rate occurred at different lithological
715 unities, which is senseless.

716 A well balanced paleoenvironmental conditions modeling by Cook & Vizy
717 (2006), which includes the meaningful topographic variable into the analysis, showed
718 the reason why seasonality was enhanced in the Amazon basin during the LGM was a
719 delayed start of the moonson annual period. This is in agreement with Santos *et al*
720 (2001), Barbosa *et al* (2004) and the present interpretation. Their simulation reveals a

721 25-35% lower annual rainfall, which very well fits measurements of Van Der Hammen
722 & Hooghiemstra (2000) as well as a threshold of seasonality playing a more important
723 role than total annual precipitation in setting biomes. In their model, Cook & Vizy
724 (2006) point out two extra Amazonian regions of particular interest, the Andes and the
725 semi-arid Brazilian Northeast, both of which experienced wetter conditions at the LGM.
726 Independent field work prove this true, in a remote semi-arid site in NE Brazil data from
727 speleothems and travertines demonstrate that wet events have occurred during much of
728 the Pleistocene, allowing the formation of a semi-deciduous forest linking the Amazon
729 basin to the Atlantic rain forest (Auler *et al*, 2004). The Andean region is more
730 complex, with wetter and drier periods co-occurring, for instance the Bolivian Altiplano
731 that underwent wetter (Chepstow *et al*, 2005) and drier (Argollo & Mourguiart, 2000)
732 conditions at the LGM.

733 In relation to cooling, polar air advections may have been the source of lowered
734 temperatures (Latrubesse & Ramonell, 1994), this is correspondent to the present day
735 “friagem” events in south-southwestern Amazon taking place during the dry season.
736 This seasonal approach regarding glacial cooling combined with warmer annual periods
737 caused by the disabled latent heat flux from the surface (Cook & Vizy, 2006) suggests
738 higher temperature amplitude and instability. The overall temperature depression
739 suggested on the order of 4-5°C is in contrast to Cook & Vizy (2006), whose model
740 predicts a cooling of more or less 2°C, which is in agreement with sea surface
741 temperature decreases at the LGM. The clue of montane elements being found in the
742 pollen rain spectra at the hill (i.e. *Myrsine*), *Podocarpus* and *Hedyosmum* found in
743 upper Holocene sediments (also seen in the previous diagram for *Hedyosmum*), the
744 contrasting picture of a forested hill against a more drastic dry scenario in the lowlands,

745 coupled with Cook & Vizy's (2006) modeling may suggest that a 4-5°C temperature
746 depression is exaggerated.

747 The strongest signal in the record is the erosional event that occurred at the LGM.
748 Santos *et al* (2001) and Barbosa *et al* (2004) interpreted this event as a cause of a
749 markedly increased seasonality, with torrential rains and cooler temperatures, typical of
750 seasonal climates. This fits very well our interpretations. What remains unresolved is
751 whether the rest of the glacial followed the same trend or not. A simplest view would
752 agree with one only pattern setting the climate, and hence most of the glacial would be a
753 less expressive climatic expansion of seasonality to the north, like it is nowadays in
754 central Brazilian biomes. No other clue proves the contrary, thus it seems reasonable to
755 accept seasonality as the feature governing the bioclimatic regional evolution
756 throughout the last glacial.

757

758 **5. Concluding remarks**

759 The pollen record from Lake Pata has been interpreted as a continuous register
760 of lowland forest in northwestern Amazonia. The discrepancies in the
761 chronostratigraphies of different cores plus at least two clear problems with the pollen
762 diagram of Pata (an omitted grain and the strange low concentration of high percentages
763 of spores) raised the possibility of a reanalysis being plausible. Our new pollen analyses
764 and interpretations, compiled with some other scattered regional evidences show that
765 the Six Lakes Hill must have been a site with slightly altered forest in a more arid
766 region (which has nothing to do with the refuges hypothesis) and not the contrary as
767 proposed by Colinvaux (1998). The main source of change is seasonality, seen from
768 both rain season delay (Cook & Vizy, 2006) and annual polar cold air masses advection
769 (Latrubesse & Ramonell, 1994). The contrasting points emerging from paleobotany and

770 geology cannot be resolved as mutually exclusive ones. Rather, they should compose a
771 more complex environmental situation, seen at the hill as the result of an altitudinal
772 moisture distribution unparalleled to the lowlands.

773 The extent to which the forest was affected by climatic changes is a little
774 obscure. Whereas it is clear that during MIS 2 a strong event occurred, it remains to be
775 explained what the landscapes were like during phases not as dry as MIS 2 and not
776 super humid like the present and past interglacials.

777

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Conclusão

O registro polínico dos sedimentos da lagoa da Pata tem sido interpretado como um contínuo de floresta na região onde é situada. Diferenças nas cronologias estratigráficas de núcleos de sondagens distintos, mais pelo menos dois problemas bem evidentes com o diagrama polínico da lagoa da Pata, que são a omissão de um grão e a baixa concentração de esporos mesmo estes tendo uma alta porcentagem, fizeram com que uma re-análise fosse plausível. Os dados do presente trabalho, bem como as interpretações compiladas com outras evidências regionais mostram que o morro dos seis lagos foi um ponto de floresta levemente modificada em uma região mais seca (o que não pode erroneamente interpretado a luz da hipótese dos refúgios) e não o contrário como proposto por Colinvaux (1998). A maior fonte de mudanças climáticas foi o aumento da sazonalidade, vista pelo atraso na estação chuvosa (Cook e Vizy, 2006) e chegada de massa de ar frio polar (Latrubesse e Ramonell, 1994).

A extensão com a qual a floresta se modificou pelas mudanças climáticas é um pouco obscura. Enquanto um forte evento climático é evidente na MIS 2, ainda está por ser explicada a configuração das paisagens pleistocênicas durante fases não tão secas quanto a MIS 2 e não tão úmidas quando o presente.

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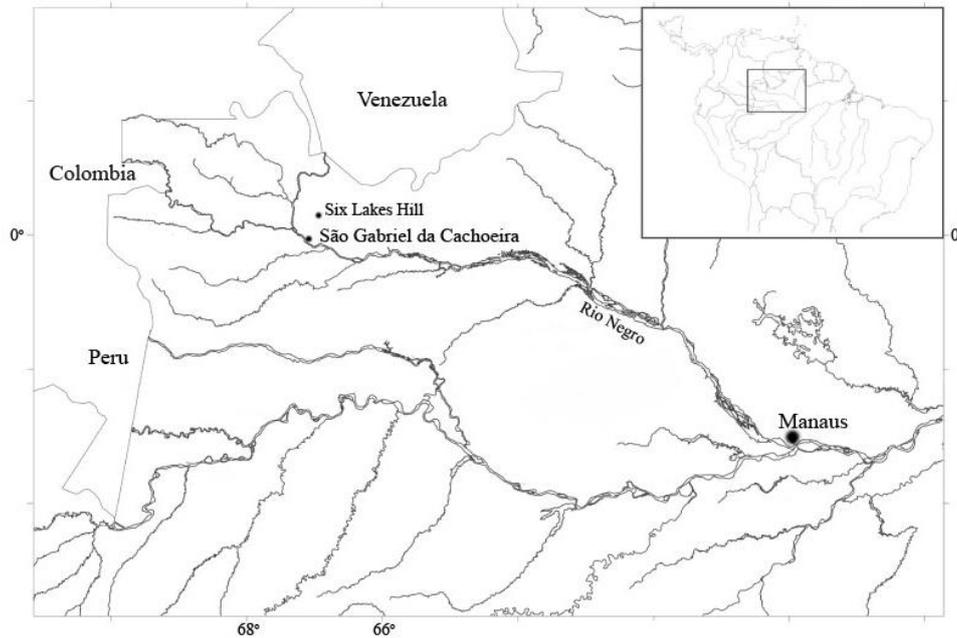
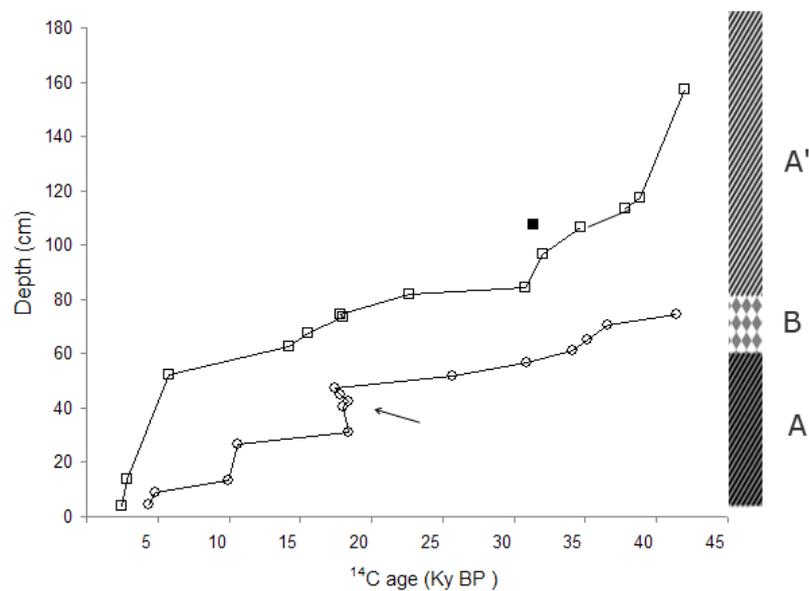
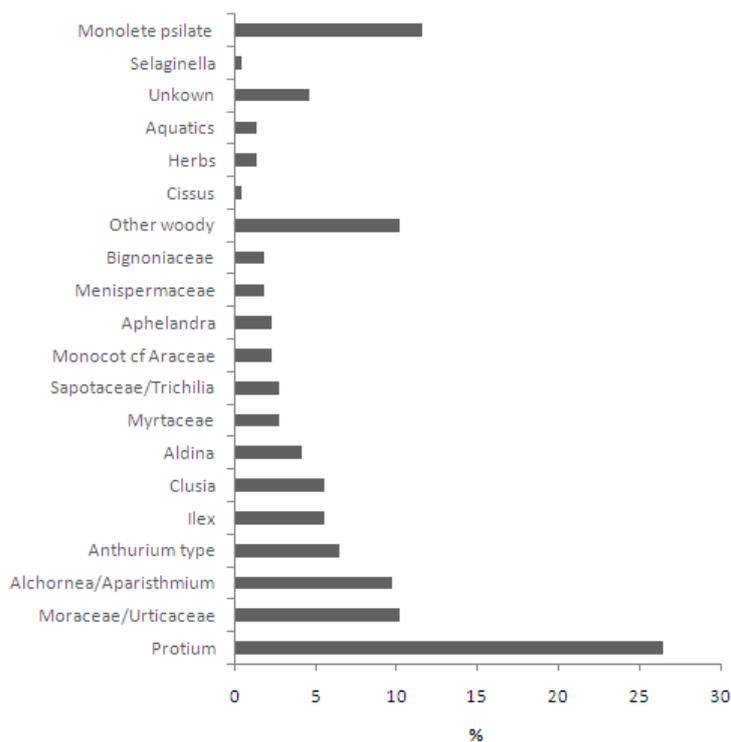


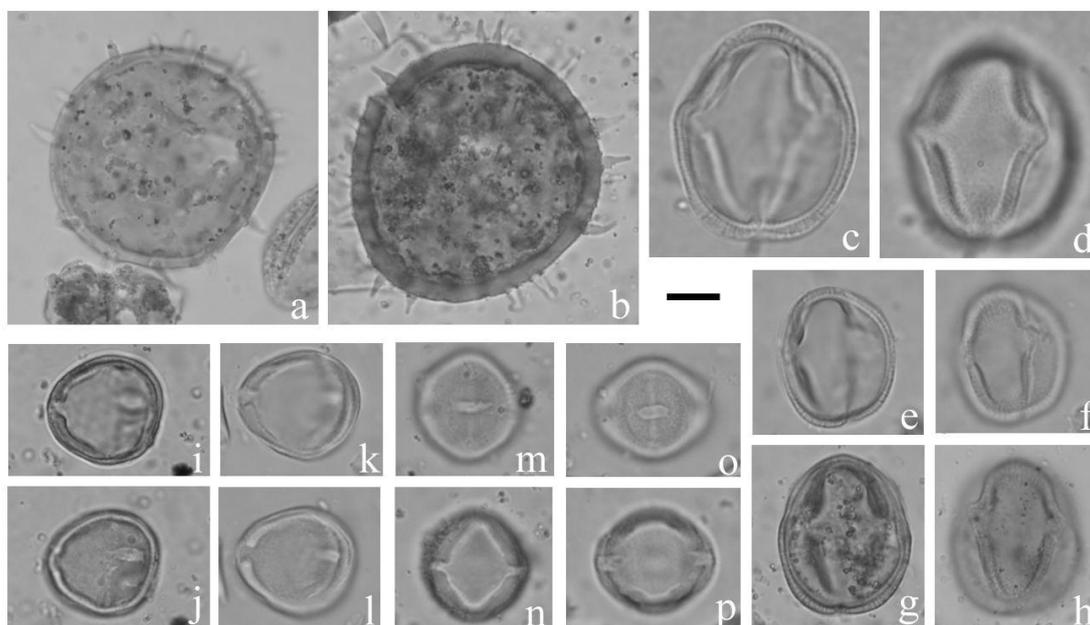
Figure 1: Sketch map, indicating the approximate location of the Six Lakes Hill, in the upper Rio Negro Basin.



1040 Figure 2: Interpolations of depth and age. Combined principal and parallel core (squares) and the core studied by
 1041 Santos *et al* (2001) (circles). Note the stratigraphic column is only correspondent to the principal and parallel cores.
 1042 Arrow (erosional event), shaded symbol (excluded date), soft green-black gyttja (A), yellowish nodular gyttja (B) and
 1043 bluish black gyttja (A').



1044 Figure 3: Most abundant *taxa* found in a moss polster sample collected at the Hill of Sixs Lakes (216 pollen counted,
 1045 spores percentage are calculated on the basis of the pollen sum).



1046
 1047 Figure 4: Fossil and recent pollen; (a) fossil *Mauritia*, (b) fossil *Mauritiella*; (c-d) *Aldina latifolia* Spruce ex Benth.;
 1048 (e-f) *Aldina heterophylla* Spruce ex Benth.; (g-h) fossil *Aldina*; (k-l and o-p) recent *Ouratea* (collected at the Six
 1049 Lakes Hill and yet not identified to species level); (i-j and m-n) fossil *Ouratea*. (Scale bar= 10 μ m).
 1050

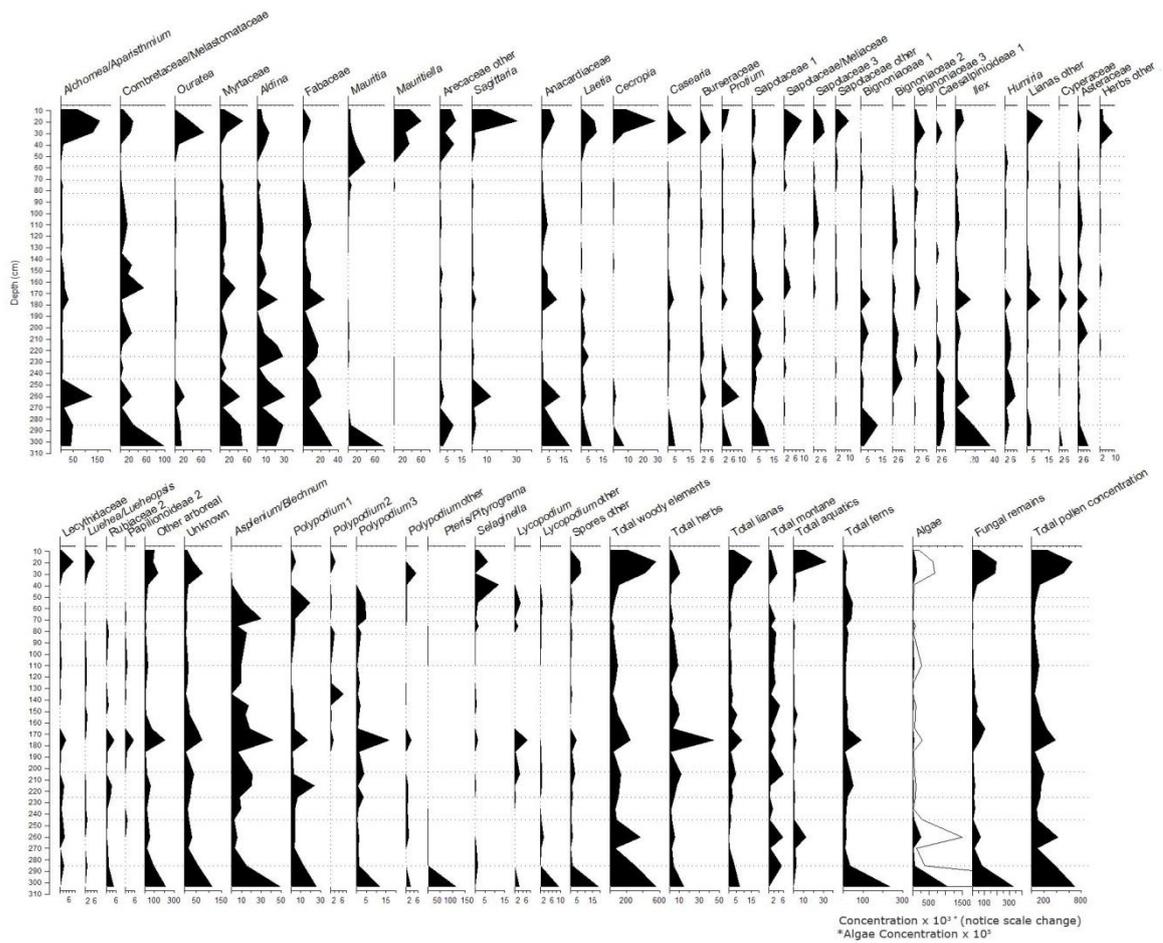


Figure 6: Concentration pollen diagram of Lake Pata.

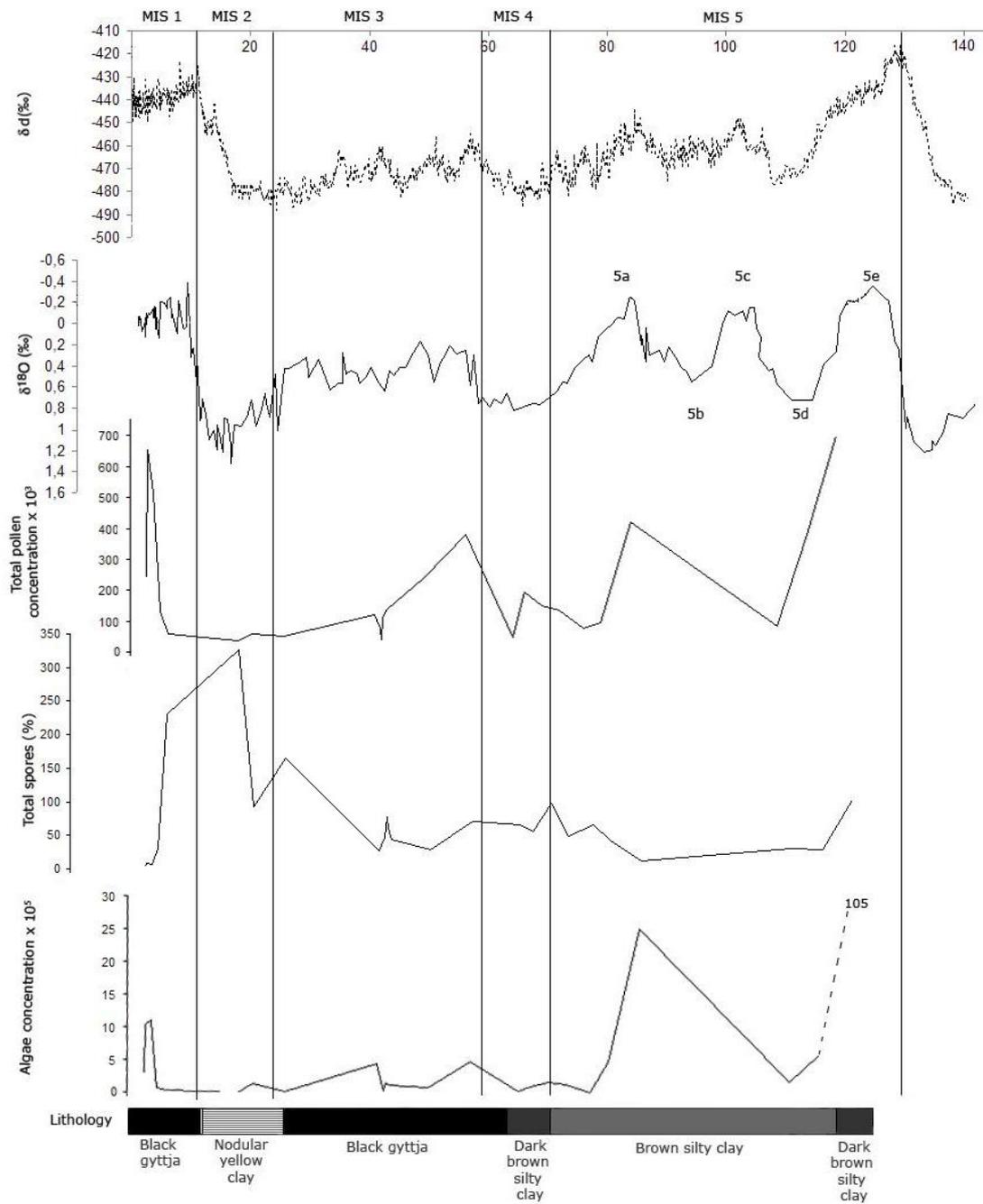


Figure 7: Deuterium and isotope oxygen records from Vostok (after Petit *et al*, 1999), algae and pollen concentrations and spores percentages from sediments of Lake Pata.